



**CODE 6714-01**

**FEDERAL DEPOSIT INSURANCE CORPORATION**

**Agency Information Collection Activities: Submission for OMB Review; Comment Request (3064-0019, -0061, -0087 & -0143)**

**AGENCY:** Federal Deposit Insurance Corporation (FDIC).

**ACTION:** Notice and request for comment.

**SUMMARY:** The FDIC, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on the renewal of existing information collections, as required by the Paperwork Reduction Act of 1995. On February 9, 2017, the FDIC requested comment for 60 days on a proposal to renew the information collections described below. No comments were received. The FDIC hereby gives notice of its plan to submit to OMB a request to approve the renewal of these collections, and again invites comment on this renewal.

**DATES:** Comments must be submitted on or before **[INSERT DATE 30 DAYS FROM PUBLICATION IN THE FEDERAL REGISTER]**.

**ADDRESSES:** Interested parties are invited to submit written comments to the FDIC by any of the following methods:

- <http://www.FDIC.gov/regulations/laws/federal/notices.html>.
- Email: [comments@fdic.gov](mailto:comments@fdic.gov). Include the name and number of the collection in the subject line of the message.
- Mail: Jennifer Jones (202-898-6768), Counsel, MB-3105, or Manny Cabeza (202-898-3767), Counsel, MB-3007, Federal Deposit Insurance Corporation, 550 17th Street NW, Washington, DC 20429.
- Hand Delivery: Comments may be hand-delivered to the guard station at the rear of the 17th Street Building (located on F Street), on business days between 7:00 a.m. and 5:00 p.m.

All comments should refer to the relevant OMB control number. A copy of the comments may also be submitted to the OMB desk officer for the FDIC: Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, Washington, DC 20503.

**FOR FURTHER INFORMATION CONTACT:** Jennifer Jones or Manny Cabeza, at the FDIC address above.

**SUPPLEMENTARY INFORMATION:** On February 9, 2017, (82 FR 10004), the FDIC requested comment for 60 days on a proposal to renew the information collections described below. No comments were received. The FDIC hereby gives notice of its plan

to submit to OMB a request to approve the renewal of these collections, and again invites comment on this renewal.

Proposal to renew the following currently approved collections of information:

1. Title: Interagency Notice of Change in Control.

OMB Number: 3064-0019.

Form Number: FDIC 6822/01.

Affected Public: Insured state nonmember banks and state savings associations.

Burden Estimate:

	Type of Burden	Estimated Number of Respondents	Estimated Time per Response	Frequency of Response	Total Annual Estimated Burden
Notice of Change in Control	Reporting	25	30 hours	On Occasion	750 hours

General Description of Collection: The *Interagency Notice of Change in Control* is submitted by any person proposing to acquire ownership control of an insured state nonmember bank. The information is used by the FDIC to determine whether the competence, experience, or integrity of any acquiring person indicates it would not be in the interest of the depositors of the bank, or in the public interest, to permit such persons to control the bank.

There is no change in the method or substance of the collection. The overall burden remains the same. In particular, the number of respondents and the hours per response remain the same.

2. Title: Foreign Banking and Investment by Insured State Nonmember Banks.

OMB Number: 3064-0061.

Form Number: Summary of Deposits.

Affected Public: All FDIC-insured institutions, including insured U.S. branches of foreign banks.

Burden Estimate:

	Type of Burden	Estimated Number of Respondents	Estimated Time per Response	Frequency of Response	Total Annual Estimated Burden
Summary of Deposits	Reporting	4,843	3 hours	On Occasion	14,529 hours

General Description of Collection: The Summary of Deposits (SOD) is the annual survey of branch office deposits as of June 30 for all FDIC-insured institutions, including insured U.S. branches of foreign banks. All FDIC-insured institutions that operate a main office and one or more branch locations (including limited service drive-thru locations) as of June 30 each year are required to file the SOD Survey. Insured branches of foreign banks are also required to file. All data collected on the SOD submission are available to the public. The survey data provides a basis for measuring the competitive impact of bank mergers and has additional use in research on banking.

There is no change in the method or substance of the collection. The overall reduction in burden hours is a result of economic fluctuation. In particular, the

number of respondents has decreased while the hours per response remain the same.

3. Title: Procedures for Monitoring Bank Secrecy Act Compliance.

OMB Number: 3064-0087.

Form Number: None.

Affected Public: Insured State Nonmember Banks and Savings Associations.

Burden Estimate:

	Type of Burden	Estimated Number of Respondents	Estimated Time per Response	Frequency of Response	Total Annual Estimated Burden
Small Institutions	Recordkeeping	3,011	35 hours	On Occasion	105,385 hours
Medium Institutions	Recordkeeping	747	250 hours	On Occasion	186,750 hours
Large Institutions	Recordkeeping	29	450 hours	On Occasion	13,050 hours
Total Estimated Burden		3,787			305,185 hours

General Description of Collection: Respondents must establish and maintain procedures designed to monitor and ensure their compliance with the requirements of the Bank Secrecy Act and the implementing regulations promulgated by the Department of Treasury at 31 CFR part 103. Respondents must also provide training for appropriate personnel.

There is no change in the method or substance of the collection. The overall reduction in burden hours is a result of economic fluctuation. In particular, the

number of respondents has decreased while the hours per response remain the same.

4. Title: Forms Relating to Processing Deposit Insurance Claims.

OMB Number: 3064-0143.

Form Number: 7200/04 – Declaration for Government Deposit; 7200/05 – Declaration for Revocable Trust; 7200/06 – Declaration of Independent Activity; 7200/07 – Declaration of Independent Activity for Unincorporated Association; 7200/08 – Declaration for Joint Ownership Deposit; 7200/09 – Declaration for Testamentary Deposit; 7200/10 – Declaration for Defined Contribution Plan; 7200/11 - Declaration for IRA/KEOGH Deposit; 7200/12 – Declaration for Defined Benefit Plan; 7200/13 – Declaration of Custodian Deposit; 7200/14 – Declaration or Health and Welfare Plan; 7200/15 – Declaration for Plan and Trust; 7200/18 – Declaration for Irrevocable Trust; 7200/24 – Claimant Verification; 7200/26 – Depositor Interview Form.

Affected Public: Any person who has a deposit account relationship with an insured depository institution that has failed and from whom more information is needed to complete the deposit insurance determination.

Burden Estimate:

	Type of Burden	Estimated Number of Respondents	Estimated Time per Response	Frequency of Response	Total Annual Estimated Burden
COMBINED DEPOSIT BROKERS AND INDIVIDUALS					
7200/04 – Declaration for Government Deposit	Reporting	14	.5 hours	On Occasion	7 hours
7200/05 – Declaration for	Reporting	165	.5 hours	On Occasion	83 hours

Revocable Trust					
7200/06 – Declaration of Independent Activity	Reporting	0	.5 hours	On Occasion	0
7200/07 – Declaration of Independent Activity for Unincorporated Association	Reporting	0	.5 hours	On Occasion	0
7200/08 – Declaration for Joint Ownership Deposit	Reporting	0	.5 hours	On Occasion	0
7200/09 – Declaration for Testamentary Deposit	Reporting	21	.5 hours	On Occasion	11 hours
7200/10 – Declaration for Defined Contribution Plan	Reporting	0	1 hour	On Occasion	0
7200/11 - Declaration for IRA/KEOGH Deposit	Reporting	0	.5 hours	On Occasion	0
7200/12 – Declaration for Defined Benefit Plan	Reporting	0	1 hour	On Occasion	0
7200/13 – Declaration of Custodian Deposit	Reporting	0	.5 hours	On Occasion	0
7200/14 – Declaration or Health and Welfare Plan	Reporting	12	1 hour	On Occasion	12 hours
7200/15 – Declaration for Plan and Trust	Reporting	0	.5 hours	On Occasion	0
7200/18 – Declaration for Irrevocable Trust	Reporting	0	.5 hours	On Occasion	0
7200/24 – Claimant Verification	Reporting	218	.5 hours	On Occasion	109 hours
7200/26 – Depositor Interview Form	Reporting	198	.5 hours	On Occasion	99 hours
SUBTOTAL: COMBINED BROKERS AND INDIVIDUALS		628			321 hours
DEPOSIT BROKERS ONLY					
Deposit Broker Submission Checklist	Reporting	136	.08 hours	On Occasion	11.33 hours
Diskette, following “Broker Input File Requirements” - burden will vary depending on the broker's number of brokered accounts					
	Reporting	102	.75 hours	On Occasion	76.5 hours
	Reporting	34	5 hours	On Occasion	170 hours
Exhibit B, the standard agency agreement, or the non-standard agency agreement	Reporting	136	.0167 hours	On Occasion	2.27 hours
SUBTOTAL: DEPOSIT BROKERS ONLY		136			260.10 hours
TOTAL HOURLY BURDEN		764			581.10 hours

General Description of Collection: The collection involves forms used by the FDIC to obtain information from depositors and deposit brokers necessary to supplement the records of failed insured depository institutions to make determinations regarding deposit insurance coverage. The information provided enables the FDIC to identify the actual owners of an account, each owner's interest in the account, and the right and capacity in which the deposit is insured.

There is no change in the method or substance of the collection. The overall reduction in burden hours is a result of economic fluctuation. In particular, the number of respondents has decreased while the hours per response remain the same.

Request for Comment

Comments are invited on: (a) Whether the collections of information are necessary for the proper performance of the FDIC's functions, including whether the information has practical utility; (b) the accuracy of the estimates of the burden of the information collections, including the validity of the methodology and assumptions used; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collections of information on respondents, including through the use of automated collection techniques or other forms of information technology. All comments will become a matter of public record.

Dated at Washington, DC, this 17th day of May 2017.

Federal Deposit Insurance Corporation



Robert E. Feldman,  
Executive Secretary

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